

If you fail to provide all requested information, it may delay or prevent us from opening an account and making your requested investment(s). If after your account is open we are unable to verify the information you provide, we may close your account.

**Account Holder** Mr.  Mrs.  Ms.  Dr.

First Name: \_\_\_\_\_

Second Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Retired:  Yes (if yes please skip to Annual Income)  
 No**Joint Account Holder** Mr.  Mrs.  Ms.  Dr.

First Name: \_\_\_\_\_

Second Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Retired:  Yes (if yes please skip to Annual Income)  
 No**Employment Information**

Company Name: \_\_\_\_\_

Occupation: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

Are you currently covered by your company retirement plan?

 Yes (if yes please give details below)  No**Employment Information**

Company Name: \_\_\_\_\_

Occupation: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone Number: ( \_\_\_\_\_ ) \_\_\_\_\_

Are you currently covered by your company retirement plan?

 Yes (if yes please give details below)  No**Annual Income**

Including bonuses, pension, social security etc

- 
- Less than \$50,000
- 
- 
- \$50,001 - \$100,000
- 
- 
- \$100,001 - \$250,000
- 
- 
- Over \$250,000

**Annual Income**

Including bonuses, pension, social security etc

- 
- Less than \$50,000
- 
- 
- \$50,001 - \$100,000
- 
- 
- \$100,001 - \$250,000
- 
- 
- Over \$250,000

## Home Address & Telephone Number

**Account Holder**

House Number/ Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

Zip: \_\_\_\_\_

Phone Number: (        ) \_\_\_\_\_

Email Address: \_\_\_\_\_

**Joint Account Holder**

House Number/ Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

Zip: \_\_\_\_\_

Phone Number: (        ) \_\_\_\_\_

Email Address: \_\_\_\_\_

## Financial Information

**Net Worth: (Total Assets minus Total Liabilities)**
**Including home, automobile and furnishings.**

- Less than \$50,000
- \$50,001 - \$1,00,000
- \$1,00,001 - \$250,000
- \$250,001 - \$1,000,000
- Over \$1,000,000

**Net Worth:**
**Excluding home, automobile and furnishings.**

- Less than \$50,000
- \$50,001 - \$1,00,000
- \$1,00,001 - \$250,000
- \$250,001 - \$1,000,000
- Over \$1,000,000

### Investment Objectives

**Which best describes your investment objective?**

- Substantial Appreciation     Moderate Growth
- Income and Growth             Income

### Risk Tolerance

**A realistic return on my portfolio would be:**

- 4-8%     9-12%     13-15%     16-20%     20% +

**How long are you willing to keep funds invested?**

- 1-2 years     3-5 years     6-10 years     11 years +

## Bank Information

**This will be the bank account to which the funds will be transferred upon exit of each investment.**

**Bank Name and Address:** \_\_\_\_\_

\_\_\_\_\_

**Account Name:** \_\_\_\_\_

**Account Number:** \_\_\_\_\_

**Swift Code:** \_\_\_\_\_

**Dividend and Capital Gains Distribution.**

Please state how you would like your funds distributed upon the sale of your investments.

**Dividends:**  Reinvest     Pay in Cash     Direct to my Account  
**Capital gains:**  Reinvest     Pay in Cash     Direct to my Account

Account Holder Signature

Date:

Joint Account Holder Signature

Date: